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Abbreviations:

Abs Absorption coefficient

ACS American Cancer Society

APED Air Pollution Epidemiology Database

BC Black Carbon

BCP Black Carbon Particles

BS Black Smoke

EC Elemental carbon

IQR Inter Quartile Range

OC Organic Carbon

PM Particulate Matter

TSP Total Suspended Particulates

ABSTRACT

Background: Current air quality standards for particulate matter use the PM mass concentration (PM₁₀ or PM_{2.5}) as a metric. It has been suggested that particles from combustion sources are more health relevant than particles from other sources, but the impact of policies directed at reducing particles from combustion processes is usually relatively small when effects are estimated for a reduction in the total mass concentration.

Objectives: To evaluate the value of black carbon particles (BCP) as an additional indicator in air quality management.

Methods: We performed a systematic review and meta-analysis of health effects of BCP compared to PM mass based on data from time-series studies and cohort studies that measured both exposures. We compare the potential health benefits of a hypothetical traffic abatement measure, using near roadway concentration increments of BCP and PM_{2.5} based on data from prior studies.

Results: Estimated health effects of a $1-\mu g/m^3$ increase in exposure were greater for BCP than for PM₁₀ or PM_{2.5}, but estimated effects of an IQR increase were similar. Two-pollutant models in time-series studies suggested that the effect of BCP was more robust than the effect of PM mass. The estimated increase in life expectancy associated with a hypothetical traffic abatement measure was four to nine times higher when expressed in BCP compared to an equivalent change in PM_{2.5} mass.

Conclusion: BCP is a valuable additional air quality indicator to evaluate the health risks of air quality dominated by primary combustion particles.

INTRODUCTION

Particulate matter (PM) is a heterogeneous mixture varying in physicochemical properties depending on meteorological conditions and emission sources (WHO 2006). Current air quality standards for PM use the mass concentration of particles (PM₁₀ or PM_{2.5}) as a metric, supported by health studies showing robust associations between ambient PM mass concentrations and a wide array of adverse health effects (WHO 2006). However, it is likely that not every PM component is equally important in causing these health effects (WHO 2007).

Combustion-related particles are thought to be more harmful to health than PM that is not generated by combustion (WHO 2005; WHO 2007). In urban areas, road traffic is a major source of combustion PM (HEI 2010). In a systematic review of the literature, WHO (2005) concluded that transport-related air pollution contributes to an increased risk of death, particularly from cardiopulmonary causes, and that it increases the risk of respiratory symptoms and diseases that are not related to allergies. In a more recent review of traffic-related air pollution, HEI (2010) concluded that there was sufficient evidence to support a causal relationship between exposure to traffic-related air pollution and exacerbation of asthma, and suggestive evidence of a causal relationship with onset of childhood asthma, non-asthma respiratory symptoms, impaired lung function, total and cardiovascular mortality, and cardiovascular morbidity.

Combustion particles also derive from a variety of sources other than motorized road traffic including wood and coal burning, shipping, and industrial sources, and these sources may contribute significantly to ambient combustion particle concentrations (WHO 2006). There is increasing concern that current mass-based PM standards are not well suited for characterizing health risks of air pollution near sources of combustion particles, such as

motorized traffic on major roads, or wood-smoke dominated communities. Furthermore, emission reduction measures such as the use of particle traps or the introduction of environmental zones are thought to be effective in reducing exposure to traffic-related air pollution, but the estimated impact of such measures is relatively small when expressed in relation to a reduction in the PM mass concentration (Lefebvre et al. 2011; Milstein and Harley 2010; Tonne et al. 2008). NO₂ is a regulated component of air pollution that is also used as an indicator of traffic-related air pollution in health impact assessment and air quality management (Tonne et al. 2008). However, NO₂ is not a suitable indicator to evaluate the effect of traffic abatement measures on exposure to combustion particles because some abatement measures, such as filters on diesel fueled vehicles, may increase NO₂ levels (Millstein and Harley 2010). In addition, spatial gradients near roadways are less pronounced for NO₂ than for black smoke and particle number because of high background concentrations of NO₂ (WHO 2005). This is less of a concern for NO and NO_x, but these components are not regulated currently, and they do not appear to be toxicologically important at current ambient levels.

These considerations led us to consider whether another PM metric might better reflect the health effects of combustion-related air pollution than PM mass, or provide an additional indicator of the effectiveness of air quality management plans aimed at reducing exposure to particles from combustion sources. We have deliberately used the term 'additional indicator' as we do not claim that all health effects associated with PM mass in previous studies can be attributed to a marker of combustion particles.

Possible candidates for such an indicator are measures of black carbon particles (BCP), including black smoke (BS), black carbon (BC), absorption coefficient (Abs), elemental carbon (EC), organic carbon (OC), particle number concentration, particle surface area, and

combustion-specific PM components. The extent of available data to support the health-relevance of these measures varies widely, with the most information being available for BS. There is also evidence of health effects of ultrafine particles from both toxicological and epidemiological studies (Knol et al. 2009), but the costs and complexity of monitoring and concerns about the validity of central site monitoring to estimate personal exposure to ultrafine particles, which is characterized by particle number concentrations rather than particle mass, probably limits the feasibility of particle number as an additional metric.

A WHO (2003) working group recommended reevaluation of BS as an indicator of traffic-related air pollution, but a systematic comparison of health effects estimated using PM versus BCP indicators is still lacking. Grahame and Schlesinger (2010) reviewed the evidence of effects of BC on cardiovascular health endpoints and concluded that it may be desirable to promulgate a BC PM_{2.5} standard. However, no systematic comparison with PM₁₀ or PM_{2.5} mass was included. Conversely, Smith et al. (2009) noted that although the results of their time-series meta-analysis suggest larger effects per unit mass of sulfate than BS, this distinction was less clear in the few studies that directly compared estimated effects of both indicators. This indicates the need to critically compare studies that have measured PM mass as well as BCP.

BCP would be a useful indicator in addition to particle mass if:

- Health risks associated with BCP are quantitatively or qualitatively different from those associated with particle mass on a mass unit basis.
- The spatial contrast related to the vicinity of combustion sources is larger and the impact of emission reductions is larger than for PM mass.

 BCP and particle mass are not— or at least not usually- highly correlated in time or space.

In this paper we evaluate the value of BCP as an indicator of the adverse health effects of combustion particles in addition to PM mass. We perform a systematic review and meta-analysis of epidemiological studies that measured both PM mass and BCP, and estimate the potential impact on life expectancy of a traffic abatement measure using the pooled concentration response functions for BCP and PM_{2.5}. Although we focus on traffic-related particles, we refer to combustion particles in general because health effects estimates were based on measurements of BCP from all combustion sources, not exclusively traffic.

METHODS

Measurement methods for BCP

BCP as a metric of combustion-derived PM may be determined by optical methods or thermal-optical analysis. Optical methods used to measure BS, BC, and the absorption coefficient of PM (Abs) are all based on the blackness of a filter sample. For BS the amount of reflected light is converted to total PM mass, whereas for BC it is converted to EC mass. Although BS is expressed in mg/m³, there is no consistent relationship to PM mass because conversion of the optical measurement to mass units depends on location, season and type of combustion particles (Hoek et al. 1997). BS has been used in Europe since the 1920s, and although it has been phased out since the introduction of PM₁₀ as the new regulatory particulate metric, some countries, including the Netherlands and the UK, continued to measure BS in selected locations. EC is determined by thermal-optical analysis in a multistep process, typically resulting in a measurement of organic carbon (OC) as well. There are

several different protocols to measure EC, and results may differ by up to a factor of two (HEI 2010). Extreme care is thus necessary when comparing data on EC from different studies. Twenty-four hour average concentrations for EC are available for regional and urban monitoring sites through the U.S. IMPROVE network and U.S. EPA Chemical Speciation Network, but there are no national monitoring networks for EC in Europe. We will use BCP as a generic term for any of the different metrics (BS, EC, BC or Abs), but will refer to the study-specific metric when describing individual studies.

The different optical measurements for BCP (BS, BC and Abs) are highly correlated (Quincey 2007; Roorda-Knape et al. 1998). Although also highly correlated, the quantitative relation between thermally determined EC and optical measures of BC varies between countries, cities, and type of location (e.g. regional, urban, traffic), highlighting the need for site-specific calibrations (Cyrys et al. 2003; Schaap and van der Gon 2007). Differences between EC measurement methods add to this variation. To facilitate comparisons among studies that used different measures of BCP we derived a BS to EC conversion factor based on the average increase in EC associated with a 10 μg/m³ increase in BS reported by 11 studies with information on both measures (Adams et al. 2002; Cyrys et al. 2003; Edwards et al. 1983; Erdman et al. 1993; Janssen et al. 2001; Kinney et al. 2000; Lena et al. 2002; Schaap and van der Gon. 2007). Based on this analysis we assume by default that 10 μg/m³ BS is equivalent to 1.1 μg/m³ EC (Supplemental Material, Table A1). In addition, we conducted sensitivity analyses using conversion factors over the range of the estimates from the individual studies (0.5-1.8 μg/m³ EC per 10 μg/m³ BS).

Systematic review of health effects of BCP compared to PM mass

Literature search

We conducted a search for peer-reviewed literature in Medline (January 2010) for epidemiological studies that evaluated the health effects of (a measure of) PM mass as well as health effects of (a measure of) BCP. We used the following keywords: (British smoke or black smoke or black carbon or elemental carbon or EC or soot or absorbance or absorption coefficient) AND (Particles or particulate matter or particulates or particulate air pollution or fine partic* or "PM10" or "PM2.5" or "PM(2.5)" or "PM(10)" or sulfate* or sulphate*) AND (mortality or cohort or hospital or emergency).

We scanned all abstracts and retrieved papers that potentially included effect estimates for PM mass as well as BCP. For acute health effects, we considered only time-series studies on daily mortality and hospital admissions or emergency department visits as these are generally more similar in design and are therefore more likely to allow meta-analyses than studies on e.g. symptoms or biomarkers. For health effects due to long-term exposure, we considered only cohort studies as these have been the most relevant for standard setting.

For the time-series studies, we also used the Air Pollution Epidemiology Database (APED) at St George's, University of London to identify suitable studies. This database comprises standardized estimates extracted from ecological time-series studies identified by systematic review that meet certain quality criteria, with the last retrieval performed on 15th May, 2009 (Smith et al. 2009). We searched APED for estimates related to effects of PM₁₀, PM_{2.5}, PM₁₃, TSP or sulfate as well as BS, BC or EC.

We identified 40 papers on time-series studies on daily mortality or hospital admissions that included area-specific estimates for both PM and BCP, and 17 papers on cohort studies. The APED search identified 6 papers that were not identified in the Medline search, but four were

excluded because more recent estimates from the same city were available. The Medline search identified 4 papers published after the last APED systematic review in May 2009.

For the time-series studies on daily mortality and hospital admissions we excluded 5 papers on Total Suspended Particulates (TSP) as more recent data, including effect estimates for PM₁₀, were available for most of the cities. Also, we excluded one paper on a rare health endpoint (i.e. hospital admissions for headache), resulting in a total of 34 papers included in the review. All included studies adjusted for major confounders, specifically seasonality and non-linear function of temperature and relative humidity. For the cohort studies we excluded 2 papers on birth outcomes.

Meta-analysis

For the time-series studies, we calculated pooled fixed and random effects relative risk (RR) estimates for all health endpoints for which estimates from at least 3 different studies were available for the same age group and for different cities. We report random effect estimates as significant heterogeneity was observed (p<0.05) among individual estimates for some endpoints. In case of no heterogeneity, fixed and random effect estimates are similar, so we report random effect estimates for all endpoints for reasons of consistency. If estimates for multiple lags were reported, we used the estimate discussed by the author, as indicated in APED as 'selected' lag. If multiple risk estimates were available from the same city, we only included the most recent estimate, and if the study area was part of a larger administrative area included in another paper (e.g. the Netherlands rather than Amsterdam), we included results for the larger area only. Finally, we excluded city-specific estimates for which PM₁₀ was partly derived from BS.

We calculated summary fixed and random effects estimates using the *metan* procedure in STATA, as described by Harris et al. (2008). In order to calculate pooled estimates and compare estimated effects for BS and PM per mass unit, we converted RRs for BS to RRs for EC using the average conversion factor (10 μg/m³ BS equals 1.1 μg/m³ EC) or the range of conversion factors from individual studies (i.e. 0.5-1.8) for sensitivity analysis.

We expressed pooled effect estimates per $10 \mu g/m^3$ (for BS and PM_{10}) or $1 \mu g/m^3$ (for $PM_{2.5}$ and EC). To compare effects based on comparable contrasts, we calculated the average ratio of the Inter-Quartile Ranges (IQR) for PM mass/BCP and compared it to the ratio of the RRs for BCP/PM mass. We could not use study specific IQRs to estimate pooled effects as IQRs were not available for all studies.

Exposure contrast in BCP compared to PM mass

We identified studies that simultaneously measured PM mass and BCP concentrations \leq 50m from busy roads (as defined as such by the authors) and at background locations, and calculated the ratio between these concentrations. To calculate the roadside increment (which we define as the difference between traffic and background concentrations) for PM_{2.5} and EC we averaged measurements at different traffic locations within the same study area to derive a single value for each study area, and converted BS and Abs concentrations to EC using the 10 μ g/m³ BS to 1.1 μ g/m³ EC conversion factor (or a range of conversion factors) as previously described. We then divided the area-specific average difference between traffic and background EC concentrations by the corresponding average difference between traffic and background PM_{2.5} concentrations to estimate the percentage of EC in the roadside increment of PM_{2.5}

Comparison of estimated health benefits of traffic abatement measures using $PM_{2.5}$ or BCP

To illustrate the potential implications of using BCP as an air quality indicator we estimated the health benefits of a traffic abatement measure for populations living along busy roads based on both $PM_{2.5}$ mass and BCP. We used the average and 95% confidence interval of the percentage EC in the roadside increment in $PM_{2.5}$ (calculated as described above), to estimate the health benefits of a hypothetical traffic abatement policy measure resulting in a $1-\mu g/m^3$ reduction in $PM_{2.5}$ mass. This approach assumes that the reduction in BCP resulting from traffic abatement will be proportional to the decrease in PM mass by the percentage of EC in the roadside increment for PM mass, an assumption that will not hold for all policies.

We estimated the increase in life expectancy that would result from such a traffic abatement policy using life table calculations, as described by Miller and Hurley (2003), for a hypothetical population of 500,000 people 18–64 years of age, distributed in age categories comparable to the 2008 Dutch population [Statistics Netherlands (CBS) 2008]. We estimated the effects on this population for a lifetime.

RESULTS

Health effects of BCP compared to PM mass

Studies on BCP and PM₁₀

The majority of the papers concerned time-series studies on PM₁₀ and BS (as a measure of BCP) conducted in Europe. We present random effects estimates for the percent change in

each outcome with a 10-µg/m³ increase in PM_{10} or BS in Table 1. Information and effect estimates for all individual studies, and tests of heterogeneity and fixed effects estimates for studies included in meta-analyses, are reported separately for each outcome in Supplemental Material, Tables B1-B10. Single city estimates for the percent change in all cause mortality with a $10\mu g/m^3$ increase in BCP and PM_{10} are also presented in Figure 1. Available data were dominated by estimates from the APHEA study (Katsouyanni et al. 2001; Analitis et al. 2006; Atkinson et al. 2001; Le Tertre et al. 2002).

For most outcomes, pooled effects estimates for a $10 \,\mu g/m^3$ increase in exposure are larger for BS than PM₁₀, especially for mortality and hospital admissions for cardiovascular causes (Table 1). However, the average ratio of the IQRs for PM₁₀/BS (1.7, see Supplemental Material, Tables B1-B10) was consistent with the ratios of RR for BS/PM₁₀ (e.g., 0.90/0.60 = 1.5 for cardiovascular mortality in Table 1), which suggests that effect estimates expressed for a similar increase in concentration (IQR) would be more or less equivalent. When we use a 10 to 1.1 conversion factor to transform the estimated effect of a $10 - \mu g/m^3$ increase in BS to the estimated effect for a $1 - \mu g/m^3$ increase in EC, the pooled random effect estimate for all cause mortality changes from 0.68% [95% confidence interval (CI) 0.31-1.06] to 0.62% (i.e., 0.68/1.1; CI 0.26-0.96). When study specific conversion factors are used, estimated effects for a $1 - \mu g/m^3$ increase in EC range from 0.38% to 1.36% (for conversion factors of 1.8 and 0.5, respectively), which suggests that the effect of a $1 - \mu g/m^3$ increase EC on all cause mortality is at least eight times larger than the estimated effect of a $1 - \mu g/m^3$ increase in PM₁₀ (0.05%).

Studies on BCP and PM_{2.5}

Less, but more recent, information was available from studies in which both $PM_{2.5}$ and BCP were measured. Three studies provided estimates of $PM_{2.5}$ and EC, both for all cause

mortality and for cardiovascular mortality. Only 2 studies provided estimates for respiratory mortality (Klemm et al. 2004; Ostro et al. 2007; Cakmak et al. 2009a; Mar et al. 2000; see Supplemental Material, Tables C1-C3). In pooled analyses, a 1-μg/m³ increase in PM_{2.5} was associated with a 0.19% (0.03–0.35%) increase in all cause and 0.29% (0.07-0.50%) increase in cardiovascular mortality. For EC, a 1-μg/m³ increase was associated with a 1.45% (1.32-1.57%) increase in all cause and 1.77% (1.08-3.08%) increase in cardiovascular mortality. Thus expressed per mass unit, effect estimates are much (7-8 times) larger for EC than for PM_{2.5}. However, if the ratio of the IQR for PM2.5/EC (~11) is taken into account, effect estimates were similar.

Available information on the effect of PM and EC on hospital admissions or emergency department visits was even more limited than for mortality, and no pooled estimates could be calculated (Zanobetti et al. 2006; Ostro et al. 2009; Peng et al. 2009; Tolbert et al. 2007; Cakmak et al. 2009b; see Supplemental Material, Table D1). When expressed per 1-μg/m³ increase, effect estimates were generally 10-30 times higher for EC compared to PM_{2.5}. However, IQRs for EC were lower by a similar factor (e.g., the ratio of the IQRs for PM_{2.5}/EC from Zanobetti et al (2006) (8.9/1.0) was similar to the ratio of the effect estimates for pneumonia with a 1-μg/m³ increase in EC/PM_{2.5} (0.054/0.0037), suggesting comparable effects with a comparable change in exposure

Two-pollutant models of PM mass and BCP

In total, 6 papers included results of two-pollutant models that included a measure of PM mass as well as BCP. This includes studies on mortality as well as hospital admissions and emergency department visits (Table 2). With one exception, effect estimates for BCP either increased or they decreased $\leq 33\%$ after adjustment for PM mass. In contrast, adjusting for BCP substantially reduced most effect estimates for PM mass (effect estimates became

negative in 3 out of 9 studies and decreased by >50% in 5 of the 6 other studies), suggesting that the effect of BCP is more robust than the effect of PM mass.

Studies on BCP and other PM components

In addition to the effects of BCP compared to PM mass, the relative health effects of BCP compared to other PM components are of interest. Specifically, we were interested in evaluating whether effects of BCP remained significant after adjustment for other potentially relevant components such as metals. Eight studies that reported effect estimates for EC and PM mass also reported estimates for PM components, including OC, sulfate, and metals (Cakmak et al. 2009a; Cakmak et al. 2009b; Klemm et al. 2004; Mar et al. 2000; Ostro et al. 2007; Peng et al. 2009; Sarnat et al. 2008). In general, effects per IQR increase in exposure were greater for EC than for most of the 6 other frequently reported components (Supplemental Material table E1). For cardiovascular mortality and morbidity, 4 out of 5 studies reported significant associations with an IQR increase in OC, 4 of 4 reported significant associations with K, and 3 of 4 reported significant associations with Zn. Estimated effects of an IQR increase in EC on cardiovascular mortality and morbidity were significant in all 5 studies. For respiratory mortality and morbidity results were more diverse, with the strongest effects observed for EC in two studies (Cakmak et al. 2009a; Cakmak et al. 2009b); with OC and/or sulfate in 3 studies (Ostro et al 2009; Peng et al. 2009; Sarnat et al. 2008), and no significant (p<0.05) effects for any of the measured components in a sixth study (Ostro et al. 2007).

Three studies also reported estimated effects based on multi-pollutant models that included a variety of PM components (see Supplemental Material, Table E2). Two studies conducted in Santiago, Chile reported significant associations with mortality (total, cardiac and respiratory) and hospital admissions (all non-accidental and respiratory) for EC, OC and 10-15 of 16

individual elements based on single pollutant models, but effect estimates for only EC and OC remained significant after adjustment for all other pollutants measured (Cakmak et al. 2009a; 2009b). In a study on emergency department visits for cardiovascular and respiratory disease in 119 US urban communities (Peng et al. 2009), 7 major PM components were considered (sulfate, nitrate, Si, EC, OC, sodium ion and ammonium ion). These 7 components in aggregate constituted 83% of the total PM_{2.5} mass whereas all other components contributed <1% individually. In single-pollutant models, cardiovascular admissions were significantly associated with same day concentrations of 5 out of 7 major PM components, including EC. In multi-pollutant models with all 7 components, only EC remained significant. For respiratory admissions, only same day OC concentrations were significant, both in single and in multi-pollutant models. In a study of associations between hospital admissions for cardiovascular and respiratory disease in 106 US counties that related admissions to the *fraction* of 20 elements to the total PM_{2.5} mass rather then the concentration, RRs for cardiovascular and respiratory hospitalizations were highest in counties with high PM_{2.5} content of Ni, V and EC (Bell et al. 2009). Here, Ni was the most robust component in multi-pollutant analyses, especially for cardiovascular admissions. Peng et al. (2009) reported statistically significant heterogeneity among effect estimates for different PM components, with the strongest estimated risk of cardiovascular admissions associated with EC concentrations. Cakmak et al. (2009) also reported that the 95% CI of the estimated effect of an IQR increase in EC did not overlap the 95% CIs of the other elements, with the exception of OC and 2-3 of the other 16 elements, indicating that the effect per IQR for EC was significantly greater than estimated effects of most other single elements.

Cohort studies on long-term exposure to BCP and PM and mortality and morbidity

Cohort studies on mortality

We identified 7 papers that presented results from 4 different cohort studies, two of which included effect estimates for BS and PM and two for EC and PM (Table 3). When using the average conversion factor of 10 μg/m³ BS=1.1 μg/m³ EC, RRs for all or natural cause mortality per 1 µg/m³ EC in the two European studies and in the study by Smith et al. (2009) range from 1.05 to 1.06. RRs for EC and all cause mortality in the veterans study were about 3 times larger than RRs for the same outcomes from the other studies, but as the standard error in the veterans study was 2-4 times higher compared to the other studies, this study contributes less to the pooled estimate (1.06[95% CI 1.04-1.09] per µg/m³ EC). Pooled estimates for a 1-µg/m³ increase in EC derived using high and low end conversion factors of 1.8 and 0.5 µg/m³ per 10 µg/m³ BS were 1.05 and 1.11, respectively. When expressed per 1 $\mu g/m^3$, the RR for EC is therefore 7-16 times higher than that of $PM_{2.5}$ mass (pooled estimate 1.007 per 1 μ g/m³). However, ratios of IQRs for PM_{2.5}/EC for the studies by Smith et al. (2009) and Beelen et al. (2008) were 14 and 9, respectively, and we estimated a ratio of about 5 based on graphical data presented for the study by Filleul et al. (2005). For the study by Lipfert et al. (2006), IQRs were not available but RRs expressed for the difference between the mean concentration and the minimum were 1.06 per 9.5 µg/m³ for PM_{2.5} and $1.09 \text{ per } 0.5 \text{ } \mu\text{g/m}^3$ for EC. Hence, it appears that effect estimates for PM_{2.5} and EC from cohort studies also would be similar if expressed for an IQR increase in exposure instead of a 1μg/m³ exposure contrast.

Multi-pollutant modeling was applied in the studies by Lipfert et al. (2006) and Smith et al. (2009). Based on 4-pollutant models that included EC, OC, sulfate and nitrate, Lipfert et al. (2006) concluded that EC had the greatest estimated impact on all-cause mortality, and that nitrate was the next important constituent. In the ACS study by Smith et al. (2009), the EC

estimate for all cause mortality was reduced by about 50% and lost statistical significance after adjustment for sulfate and/or ozone. For cardiopulmonary mortality, EC reduced by about 33% and remained significantly associated after adjustment for sulfate, but reduced by about 80% and lost significance after additional adjustment for ozone.

Cohort studies on morbidity

The 8 papers on respiratory health outcomes in children included 6 papers describing results from one Dutch and two German birth cohorts, analyzed using the same exposure assessment strategy, and 2 papers on lung function growth in two cohorts of Southern California children (Brauer et al. 2002; 2006; 2007; Clark et al. 2010; Gauderman et al. 2002; 2004; Gehring et al. 2002; 2010; Morgenstern et al. 2007; 2008; see Supplemental Material, Tables F1 and F2). For most of the studies, $PM_{2.5}$ and BCP were highly correlated (R>0.9). Overall, consistent with other types of studies, estimated effects of a 1- μ g/m³ increase in BCP were greater than estimated effects of a 1- μ g/m³ increase in PM_{2.5}, whereas effects estimated for IQR increases were similar for BCP and PM_{2.5}.

Exposure contrast in BCP compared to PM mass

Street/background ratios were higher and more variable for BCP than PM mass concentrations (Figure 2 and Supplemental Material, Table G1). On average, BCP concentrations near busy roads were twice as high as urban background BCP concentrations, whereas PM concentrations near busy roads were only about 20% higher than background levels. For all single sites, the street/background ratio for BCP was higher than the corresponding ratio for PM mass. For the studies included in Figure 2, the average roadside increment of EC relative to PM_{2.5} was 55% (95% CI 46-63%) when the conversion 10 μg/m³

BS = $1.1 \,\mu\text{g/m}^3$ EC is used. Using the lower and upper conversion factors of 0.5 and 1.8 resulted in an average percentage of 41% (95% CI: 29-54%) and 70% (95% CI: 59-82%) respectively (See Supplemental Material, Table G2).

Comparison of calculated health benefits of traffic abatement measures using $PM_{2.5}$ or BCP

The estimated percentage EC in the roadside increment in $PM_{2.5}$ of 40-70% implies that every $1 \mu g/m^3$ reduction in traffic-related $PM_{2.5}$ along busy roads will result in a 0.4-0.7 $\mu g/m^3$ reduction in EC. When the average conversion factor of $10 \mu g/m^3$ BS=1.1 $\mu g/m^3$ EC is used to derive the RR for a 1- $\mu g/m^3$ increase in EC and the percent of EC in a roadside increment of $PM_{2.5}$, the increase in life expectancy per person is 5 times higher for EC compared to $PM_{2.5}$ (3.6 months compared with 21 days, Table 4). When the maximum and minimum conversion factors of 1.8 and 0.5 $\mu g/m^3$ EC per 10 $\mu g/m^3$ BS are used, the increase in estimated life expectancy is 4 to 9 times higher. Therefore, estimated health benefits are much larger when expressed in EC compared to an equivalent change in PM mass.

DISCUSSION AND CONCLUSIONS

Our review shows that health effect estimates from mortality and morbidity time-series studies as well as cohort studies were higher for BCP than for PM₁₀ or PM_{2.5} when expressed for a 1-µg/m³ increase in exposure and similar when expressed for an IQR increase in exposure. A relatively large part (40-70%) of the roadside increment in PM_{2.5} mass concentrations can be attributed to BCP. Based on the calculated RRs for all cause mortality from cohort studies as well as the estimated percentage EC in the roadside increment in PM_{2.5}

mass, the estimated increase in life expectancy associated with a hypothetical traffic abatement policy measure was four to nine times higher than when expressed per achievable reduction in BCP compared to the estimated effect of an equivalent reduction in PM_{2.5} mass.

Health effects of BCP compared to PM mass

Single-pollutant effect estimates for daily mortality or hospital admissions generally were an order of magnitude higher for BCP compared to PM_{10} and $PM_{2.5}$ when expressed per $\mu g/m^3$. When differences in IQRs were accounted for, effect estimates were generally similar. It should be noted that there was a moderate to moderately high correlation between PM_{10} and BS measurements reported by the individual studies included in the pooled estimates (Pearson correlations of 0.5 to 0.8), consistent with correlations between daily wintertime PM_{10} and BS concentrations from a study in 14 European study areas (Hoek et al. 1997). Although this raises concerns about the ability to distinguish effects due to PM_{10} versus BS, there is at least some variation in the temporal patterns of these exposures.

In studies examining a variety of different PM components, BCP generally showed significant associations, especially with cardiovascular health endpoints, both before and after adjusting for other components. For cohort studies, pooled estimates for all cause mortality per 1 μ g/m³ were 5 to 14 times higher for BCP compared to PM_{2.5}, but IQRs for PM _{2.5} were higher than those for BCP by a similar factor.

The implication of similar effects per IQR is that for policies that reduce all relevant components of PM proportional to current levels, estimated health benefits would be similar based on either indicator. The IQR-based comparison is also the relevant comparison for health impacts assessments of general air quality. However, for assessments of exposure

conditions dominated by combustion sources, or policies directed towards specific combustion sources, the comparison of RRs expressed per 1-unit change in mass is relevant.

The available evidence from two-pollutant models for time-series studies suggests that the effect of BCP is more robust than the effect of PM mass. However, two-pollutant models with BCP and PM mass were not conducted in any of the cohort studies. Although overall the results of multi-pollutant analysis including BCP, sulfate and ozone in the ACS study suggest that sulfate has the most robust association with all cause and cardiopulmonary mortality, Smith et al. (2009) indicate that this can also be caused by differential amounts of measurement error. In the ACS study, where exposure was assessed at the metropolitan area level, estimates of the spatial distribution of EC likely have more measurement error than the assigned sulfate exposures as EC is more locally generated, as opposed to sulfate, which is a secondary pollutant with little spatial variation. When measurement error is present, variables measured with high precision will tend to dominate model-based predictions relative to variables measured with less precision (Smith et al. 2009). For time-series studies, there are no large differences in temporal relationships between central-site ambient concentrations and personal exposure for BCP and PM_{2.5} (Janssen et al. 2005). In addition, issues related to the correlation between different pollutants and the extent to which they can act as surrogates for the etiologic agent(s) complicate the interpretation of results from multi-pollutant models (Tolbert et al. 2007). Our interpretation that the results from two-pollutant models for the time-series studies suggest that BCP is a more health relevant indicator in these studies than PM mass is also supported by Roemer and van Wijnen (2001a; 2002), who calculated separate effect estimates with separate exposure estimates using background and trafficinfluenced measurement stations and for the total population and people living along busy roads. Effect estimates for urban background BS were larger in the population living along busy roads than for the total population, suggesting that this subpopulation was more highly

exposed. Indeed, effect estimates for the population living along busy roads using BS measured at traffic stations were more or less equivalent to effect estimates for the total population using BS measured at urban background stations.

Further evidence of health effects of primary combustion is obtained in studies that use source apportionment techniques to assess associations of particles from different sources with health. Particles from traffic or local combustion were associated with daily mortality and hospital admissions (Cakmak et al. 2009a;2009b; Laden et al. 2000; Mar et al. 2000; Sarnat et al. 2008). Although measures of BCP are not frequently used in human controlled exposure studies, several human exposure studies using exposure to diesel exhaust have documented airway and systemic inflammation (Salvi et al. 2000), as well as responses that provide a possible mechanism for cardiac events such as myocardial infarction (Mills et al. 2007). Two studies illustrated the importance of particle composition: Mills et al. (2008) found little effect of 2 hour exposure to high PM_{2.5} concentrations taken in Edinburgh, attributed to the high sea salt content (90%); Urch et al. (2005) found blood pressure increases in healthy subjects, related to the OC content of fine PM –largely from motorized traffic— but not to total PM_{2.5}.

Spatial contrast in BCP compared to PM_{2.5}

Higher street/background ratios for BCP compared with PM (Figure 2) are consistent with the larger impact of traffic on BCP than on PM mass concentrations. However, the studies included in our review represent a variety of settings, including different distances to the roadside, traffic densities (including vehicle types), averaging times, seasons and meteorological conditions. These factors probably (partly) explain the variability in ratios observed between studies.

The impact of traffic on BCP was also demonstrated for temporal concentration variations by Schaap and van der Gon (2007), who showed that BS levels on rural and urban locations in the Netherlands were about 50% higher on weekdays compared to Sundays, whereas BS concentrations at urban traffic locations were about 100% higher on weekdays compared to Sundays. Comparison of weekdays and Sundays for PM₁₀ mass concentrations showed much smaller differences (5-15%). We estimated that on average 55% of the roadside increment in PM_{2.5} was comprised of EC based on absolute differences in concentrations between street and background concentrations. Deriving an overall quantitative estimate of this percentage across studies is complicated by the different measurement methods used for BCP, in which both differences between methods for measuring EC, and differences in conversion of optical measures of BCP to EC concentrations, need to be taken into account. We therefore converted BS and Abs to EC using a conversion factor based on the average of 11 identified area specific comparison studies, and used the range of these 11 values in sensitivity analyses.

Our estimates compare well with previous studies (Lefebvre et al. 2011; Lena et al. 2002; Millstein and Harley 2010). In a study on the spatial variation in EC and PM_{2.5} in relation to local truck traffic density, Lena et al. (2002) estimated that EC represents 52% of the total PM_{2.5} generated by large trucks. In comparison, in a modeling study on the effects of retrofitting trucks with diesel particle filters, EC accounted for 64% of total diesel PM_{2.5} emissions (Millstein and Harley 2010). Similarly, in a modeling study of the effect of a speed limit reduction on traffic-related EC, Lefebvre et al. (2011) estimated that EC traffic emissions account for 70% of the total PM_{2.5} exhaust emissions. These figures are also in the range provided by the European emission inventory database COPERT4 for the EC fraction in PM_{2.5} in exhaust emissions for different vehicle categories (e.g. passenger cars, vans and trucks) (Ntziachristos and Samaras. 2009). The contribution of BCP in roadside increments of

 PM_{10} will be smaller as resuspended road dust, including brake and tire wear, results in a more substantial contribution to PM_{10} (Janssen et al. 1997; Gietl et al. 2010).

Comparison of calculated health benefits of traffic abatement measures using $PM_{2.5}$ or BCP

We evaluated the gain in life expectancy of a 1 μ g/m³ decrease in PM_{2.5} and 0.55 μ g/m³ EC, based upon the average contribution of EC to the increment in PM concentration in studies comparing a major road and urban background. This calculation can be interpreted as an indication of the potential difference in a health impact assessment based upon PM_{2.5} or BCP for populations living along a major road. It can also be interpreted as the potential health gain for policies that reduce concentrations in approximately the same ratio as the current roadside increment, e.g. a limitation of overall traffic intensity.

There is little empirical data to support larger impacts of policies on BCP than on PM_{2.5} mass. In an evaluation of the effects of retrofitting trucks with diesel engine particle filters on air quality in Southern California, Millstein and Harley (2010), using an Eulerian photochemical air quality model, estimated a decrease in EC concentrations in 2014 of 12-14%. The estimated effect on PM_{2.5} mass concentrations was much smaller (<1%). In a modeling study of the effect of a speed limit reduction (from 120 to 90 km/hour) on air quality in Flanders, EC concentrations decreased up to 30% just next to the busiest highways, compared to an estimated reduction of at most 8.5% for PM_{2.5}. For buffer zones of 0-100m distance to the highways EC concentrations decreased by 9-10% (Lefebvre et al. 2011). A small monitoring study on the effects of road closures associated with the 2004 Democratic National Convention in Boston suggested slightly lower concentrations of EC and NO₂ during the road

closure periods at monitoring sites proximate to the closed highway segments. This decrease was not observed for PM_{2.5} or further from major highways (Levy et al. 2006).

Our finding of a larger increase in life expectancy associated a hypothetical traffic abatement policy measure when expressed per achievable reduction in BCP compared to per an equivalent amount of $PM_{2.5}$ mass illustrates that health effects of such policies may be seriously underestimated when based upon $PM_{2.5}$ or PM_{10} . As an illustration we calculated the increase in life expectancy for the population living along major roads. We did not attempt to calculate the impact for the larger population. However, although the absolute improvement of air quality will be smaller, we expect that the differences between BCP and PM mass will be similar. In a modeling study of the effect of a speed limit reduction, Lefebvre et al. (2011) estimated a decrease in EC concentrations of $0.4 \,\mu\text{g/m}^3$ for buffer zones within 200 m of the highways, affecting about 75.000 inhabitants if the abatement measure would be implemented on all highways in Flanders and Brussels. For the buffer zone within 1500 m of the highway the reduction was smaller; $0.17 \,\mu\text{g/m}^3$ (5%), but affecting up to 1.8 million inhabitants.

Overall discussion

Our review shows that BC is associated with health effects that are not reflected quantitatively in the same way by particle mass, as indicated by the higher effect estimates per 1 µg/m³ for BCP compared to PM mass.

In the reviewed studies ambient measurements of various BCP metrics were used. Though motorized traffic was an important source of BC in most of these studies, they included the impact of all combustion sources on BCP concentrations, including coal and wood burning, shipping emissions and industrial sources. In a review of source apportionment studies for fine particle EC, Schauer (2003) found that the combined contribution of diesel and gasoline

powered vehicles ranged from 74-98%; the contribution from biomass burning ranged from 0.7 to 25% and the contribution from other sources from 0.5 to 17%. The derived risks therefore represent those for BCP as a general indicator of combustion particles, not exclusively traffic. Issues therefore remain when these risk estimates are applied to specific combustion sources such as traffic or wood burning. We however hold that BCP more closely resembles the harmful components in these air pollution mixtures than general PM_{2.5} does.

Associations between individual elements and mortality or morbidity could be explained by the health effects of that element or the health effects of a pollution mixture of which the element is an indicator. Therefore, BCP could be serving as an indicator for the larger category of primary combustion particles, which, in addition to BCP, can include trace metals and hydrocarbons such as Polycyclic Aromatic Hydrocarbons (PAHs), any or all of which could be acting to cause adverse health effects. Our analysis assumes that these other components are equally reduced relative to reductions in BCP when measures are taken that reduce emissions of combustion particles. This assumption will be more valid for measures that do not affect engine characteristics, such as a restriction of the number of vehicles, compared to measures that affect particle composition, such as speed reduction or changes in engine types or fuel mixtures. Furthermore, as BCP is a marker for tailpipe emissions, it is less suitable to evaluate the health benefits of traffic-oriented abatement measures that are expected to result in reductions in non-tailpipe emissions from brake linings, crank cases, tire wear, etcetera, which may be uncorrelated with reductions in BCP.

Already in 2003, a working group of WHO (2003) recommended to re-evaluate BS as part of the reconsideration of the WHO Air quality guidelines and to consider addition of photometric analysis of BCP on the PM_{2.5} filters. Our review supports this recommendation. We foresee application of a BCP indicator in evaluation of current levels of traffic-related air

pollution, wood smoke or other combustion particles and policies aimed at reducing these sources. Of the different methods to measure BCP, probably the best method would be EC measurements using standard methodology (Cavalli et al. 2010). However, it is beyond the scope of this paper to make recommendations about the methods that should be used to measure BCP.

In summary, we do not promote BCP as an alternative marker for PM mass as this would disregard the effects of coarse particles or particles from other sources. Nonetheless, our review shows that BCP is a valuable additional air quality indicator that would be particularly useful to evaluate health risks of air pollution dominated by primary combustion emissions, as well as benefits of traffic abatement measures.

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Table 1. Summary of pooled random effects estimates for PM₁₀ and BS from time-series studies^a

Endpoint	#	% change per 10 μg/m³ increase (95% CI)		References ^b (Supplemental Table)	
	estimates	PM_{10}	BS		
Mortality					
All Cause ^c	7	0.48* (0.18-0.79)	0.68* (0.31-1.06)	A; D; E; H (B1)	
Cardiovascular	7	0.60* (0.23-0.97)	0.90* (0.40-1.41)	A; B; H (B2)	
Respiratory	7	0.31 (-0.23-0.86)	0.95 (-0.31-2.22)	A; B; H (B3)	
Hospital Admissions					
All respiratory, age ≥65 ^d	6	0.70* (0.00-1.40)	-0.06 (-0.53-0.41)	B; C; G (B4)	
Asthma+COPD, age ≥65	5	0.86* (0.03-1.70)	0.22 (-0.73-1.18)	C (B5)	
Asthma, age 0-14	5	0.69 (-0.74-2.14)	1.64* (0.28-3.02)	B; C (B6)	
Asthma, age 15-64	5	0.77 (-0.05-1.61)	0.52 (-0.50-1.55)	B; C (B7)	
Cardiac, all age	4	0.51* (0.04-0.98)	1.07* (0.27-1.89)	B; F (B8)	
Cardiac, age ≥65	4	0.67* (0.28-1.06)	1.32* (0.28-2.38)	F (B9)	
IHD, age ≥65	5	0.68* (0.01-1.36)	1.13* (0.72-1.54)	B; F (B10)	
* n<0.05					

^{*} p<0.05

a See Supplemental Material, Table B1-B10 for specific original studies
b A=Analitis et al. 2006; B=Anderson et al. 2001; C=Atkinson et al.2001; D=Hoek et al. 2000; E=Katsouyanni et al. 2001; F=Le Tertre et al. 2002; G=Prescott et al. 1998; H=Zegnoun et al. 2001
c Includes cardiovascular and respiratory mortality
d Includes asthma and COPD

Table 2. Results from single and 2-pollutant models of time-series studies including PM₁₀ or PM_{2.5} and BCP (measured as BS in all studies in this table)

Reference;	Health endpoint	Correlation (R) PM- BS ^b	% change per 10 PM-single	μg/m³ increase (PM-two ^c	(95% CI) BS-single	BS-two ^c
Mortality Bremner et al. 1999 London	Resp. mortality CVD mortality	NA	1.3 (0.3-2.3) 0.6 (-0.1-1.2)	0.4 (-1.0-1.8) 0.2 (-0.6-1.0)	1.9 (0.2-3.7) 1.2 (0.1-2.2)	2.0 (-0.4-4.4) 0.8 (-0.6-2.2)
Hoek et al. 2000 Netherlands	Total mortality CVD mortality	0.77	0.3 (0.0-0.5) 0.2 (-0.2-0.5)	0.1 (-0.3-0.6) -0.6 (-1.3-0.1)	0.7 (0.4-0.9) 0.8 (0.4-1.2)	0.4 (-0.6-1.4) 2.1 (0.5-3.7)
Admissions Anderson et al. 2001 West Midlands	Respiratory Admissions; all age	0.64	0.6 (-0.5-1.7)	'considerably reduced' d	1.1 (-0.1-2.2)	2.0 (0.3-2.8)
Atkinson et al. 1999 ^e London	A&E visits for asthma; children	NA	2.4 (0.7-4.1)	2.0 (-0.1-4.2)	2.8 (-0.0-5.7)	0.9 (-3.0-5.1)
Atkinson et al. 1999b London ^b	Cardiovascular admissions>65 yr.	0.6-0.7	0.5 (-0.0-1.0)	-0.1 (-0.8-0.5)	1.9 (0.9-3.0)	2.3 (0.8-3.8)
Le Tertre et al. 2002 APHEA	Cardiac HA Cardiac>65 years IHD>65 years	0.5-0.8	0.5 (0.2-0.8) 0.7 (0.4-1.0) 0.8 (0.3-1.2)	-0.2 (-1.2-0.8) 0.1 (-0.4-0.7) 0.2 (-0.9-1.4)	1.1 (0.4-1.8) 1.3 (0.4-2.2) 1.1 (0.7-1.5)	1.6 (-0.3-3.5) 1.5 (0.3-2.7) 0.8 (-1.1-2.7)

^a PM_{2.5} for Anderson et al. 2001; PM₁₀ for all other studies ^b Coefficient of the correlation (R) between PM and BS

^c PM-two=PM from model with both PM and BS; BS-two=BS from model with both BS and PM

^e Quantitative information not available; paper states that the effect of PM_{2.5} was considerably reduced when black smoke was included in the model

^e results only described qualitatively in the paper; quantitative estimates provided by the authors on request

Table 3. RR for mortality related to long-term exposure to $PM_{2.5}$ and EC per 1 $\mu g/m^3$

Reference	Cohort	Corr (R) PM-BCP ^a	Cause	RR (95% CI) PM _{2.5}	EC
Filleul et al. 2005 ^{b,c}	14.284 adults; age 25-59; France	0.87 ^d	Natural cause ^e Cardiopulmonary Lung cancer	1.010 (1.004-1.016) 1.012 (1.002-1.023) 1.000 (0.983-1.019)	1.06 (1.03-1.09) 1.05 (0.98-1.11) 1.03 (0.93-1.14)
Lipfert et al. 2006	70.000 male US veterans	0.54	All cause	1.006 (0.993-1.020)	1.18 (1.05-1.33)
Beelen et al. 2008 ^b	120.852 adults; age 55-69; Netherlands	0.82	Natural cause e Respiratory Cardiovascular Lung cancer Other	1.006 (0.997-1.015) 1.007 (0.972-1.043) 1.004 (0.990-1.019) 1.006 (0.980-1.033) 1.008 (0.996-1.021)	1.05 (1.00-1.10) 1.20 (0.99-1.45) 1.04 (0.95-1.12) 1.03 (0.89-1.18) 1.04 (0.97-1.11)
Smith et al. 2009	500.000 adults; age 20-87; US	NA	All cause Cardiopulmonary	1.006 (1.002-1.010) 1.012 (1.008-1.018)	1.06 (1.01-1.11) 1.11 (1.03-1.19)
Pooled effect (random) ^f		All cause	1.007 (1.004-1.009)	1.06 (1.04-1.09)	

^a Coefficient of the correlation (R) between PM and BCP^b RR for EC in European studies estimated from BS as $10 \,\mu\text{g/m}^3$ BS=1.1 $\mu\text{g/m}^3$

^c RR for PM_{2.5} estimated from TSP as PM_{2.5}=0.5xTSP (Verhoef et al. 1996; Van der Zee et al. 1998)

^d for all 24 sites, whereas RR presented for 18 sites (non-traffic)

^e International classification of disease, 9th revision (ICD-9) < 800

^f pooled effect when using 10 μ g/m³ BS=1.8 μ g/m³: 1.05 (1.02-1.07); when using 10 μ g/m³ BS=0.5 μ g/m³: 1.11 (1.06-1.16)

Table 4. Comparison of the estimated effect on life expectancy of reductions in PM_{2.5} mass and EC resulting from a traffic management plan

Component PM _{2.5}	Conversion BS to EC ^a	RR ^b 1.007	Reduction (%% CI) (μg/m³) ^c 1.00	Increase in life expectancy per person 21 days
EC	$10 \mu g/m^3 BS=1.1 \mu g/m^3 EC$	1.06	0.55 (0.46–0.63)	3.6 months (3.0–4.1 months)
	10 μg/m ³ BS=1.8 μg/m ³ EC 10 μg/m ³ BS=0.5 μg/m ³ EC	1.05 1.11	0.70 (0.59–0.82) 0.41 (0.29–0.54)	3.1 months (2.6–3.6 months) 4.5 months (3.2–5.9 months)

^a BS was converted to EC for 2 of the 4 studies that were used to calculate the RR for EC, and for 8 of 16 studies that were used to calculated the percentage EC in the roadside increment of PM_{2.5} over background

 $^{^{}b}$ RR per 1- μ g/m³

^c A traffic abatement measure is evaluated that reduces EC proportional to the percentage EC in the roadside increment of PM_{2.5} over background. Values in brackets for the reduction correspond to the 95% CI of the percentage EC in the roadside increment of PM_{2.5} (Supplemental Material; Table G2); Values in brackets for the increase in life expectancy are based on the 95% CI of the reduction

FIGURE LEGENDS

Figure 1:

Single city, single pollutant estimates for PM_{10} and BS and all cause mortality

cities indicated with * were included in the pooled estimate; year indicates year of publication

References: Anderson et al. 2001 (West Midlands); Bremner et al. 1999 (London); Hoek et al. 2000 (Netherlands); Katsouyanni et al. 2001

(Athens, Barcelona, Birmingham, Cracow, London, Paris); Roemer and van Wijnen. 2001a (Amsterdam); Verhoeff et al. 1996 (Amsterdam);

Zeghoun et al. 2001 (Le Havre, Paris, Rouen)

Figure 2:

Study-specific street/background ratios for PM mass and BCP concentrations

(blue for ≥24 h average along highways; green for ≥24 h average along inner city roads; Red for daytime and ≤12h measurements (all inner-city

roads); Boogaard et al. 2010; Cyrys et al. 2003; Fischer et al. 2000; Fromme et al. 2005; Funasaka et al. 2000; Harrison et al. 2004; Janssen et al.

1997; 2001; 2008; Kinney et al. 2000; Lena et al. 2002; Riediker et al. 2003; Roemer et al. 2001b; Roorda-Knape et al. 1998; Roosli et al. 2001;

Smargiassi et al. 2005))

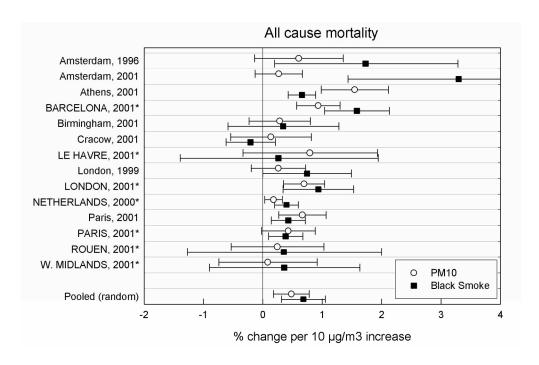


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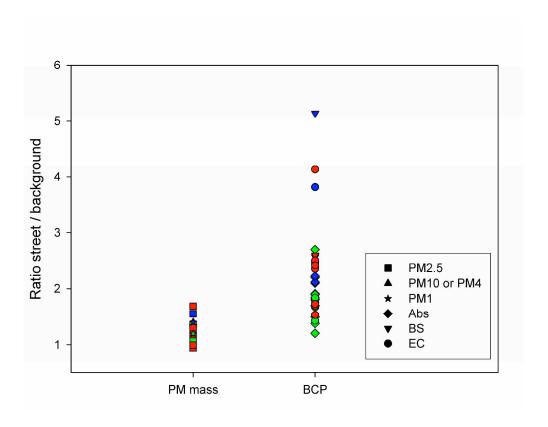


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585x459mm (150 x 150 DPI)